

THIS AMENDMENT, entered into between the State of Florida, Department of Children and Families, hereinafter referred to as the "Department" and **Southeast Florida Behavioral Health Network, Inc.**, hereinafter referred to as the "Provider," amends **Contract # IH611**.

Amendment #0021 renewed Contract IH611 for an additional three years through June 30, 2019 and restated the contract with CF Standard Integrated Contract 2016.

Amendment #0022 updated Exhibit E, Schedule of Funds dated 6/7/2016 and added \$25,490.00 to Fiscal Year 15-16 of the contract, as specified in Budget Amendment B-0110 in the amount of \$12,990.00 and Budget Amendment B-0258 in the amount of \$12,500.00.

Amendment #0023 updated Exhibit E, Schedule of Funds dated 8/8/16 and added \$578,067.00 to Fiscal Year 16-17 of the contract, as specified in Budget Amendments B-0012, B-0018, and B-0021

Amendment #0024 added \$432,410.00 to the current Fiscal Year 2016-2017; revised Exhibit A, Special Provisions; Exhibit C3, ME Required Reports, Plans, and Functional Tasks; Exhibit F, Method of Payment; Exhibit F1, Schedule of Funds; and Exhibit F2, Schedule of Payments.

Amendment #0025 updated Exhibit B, Scope of Work; pursuant to PHS Act §1931(a)(l)(E) and 1916(a)(5) and 45 CFR §96.135(a)(5), the Managing Entity may not enter into subcontracts with a for-profit entity using Block Grant funds unless the for-profit entity subcontract is solely for providing goods and services for the Managing Entity's own use in meeting its obligations under this Contract. A subcontract with a for-profit entity may not provide for services meeting the definition of a "subaward" as defined in 2 CFR §200.92, using Block Grant funds, and revised Exhibit F1, ME Schedule of Funds, which did not have any financial impact.

Amendment #0026 updated the Schedule of Funds by transferring general revenue budget authority from OCAs MH000 and MS000 to two newly created OCAs, MHSFP and MSSFP to track for-profit sub-recipient expenditures.

The purpose of Amendment #0027 is to update the Schedule of Funds due to State Budget Amendment B-0113. This amendment transfers budget authority in OCA MHSOC for the new System of Care grant award effective 09/30/16. This grant replaces the System of Care grant ending on 09/29/19. The funding allocated to each Managing Entity is for the period 01/01/17-06/30/17.

1. Page 1, CF Standard Integrated Contract, Section 1.1., dated 2016, Purpose and Contract Amount is hereby amended to read:

Section 1.1, Purpose and Contract Amount

The Department is engaging the Provider for the purpose of serving as a Regional Managing Entity, pursuant to s.394.9082, F.S., to manage the day-to-day operational delivery of behavioral health services through an organized system of care, pursuant to state and federal law, within the annual appropriation, as further described in Section 2, payable as provided in Section 3, in an amount not to exceed \$ **363,744,589.00**.

2. Pages 68 through 71, CF Standard Integrated Contract 2016, **Exhibit F, Method of Payment** dated November 30, 2016 are hereby deleted in their entirety and Revised Pages 68 through 71, Standard Integrated Contract 2016, **Exhibit F, Method of Payment** dated January 13, 2017 are inserted in lieu thereof and attached hereto.
3. Page 72, CF Standard Integrated Contract 2016, **EXHIBIT F1, ME SCHEDULE OF FUNDS**, dated December 22, 2016 is hereby deleted in its entirety and Revised Page 72, Standard Integrated Contract 2016, **EXHIBIT F1, ME SCHEDULE OF FUNDS**, dated January 11, 2017 is inserted in lieu thereof and attached hereto.
4. Page 73, CF Standard Integrated Contract 2016, **Exhibit F2, Schedule of Payments** dated November 30, 2016 is hereby deleted and Revised Page 73, Standard Integrated Contract 2016, **Exhibit F2, Schedule of Payments** dated January 13, 2017 is inserted in lieu thereof and attached hereto.

This amendment shall begin on **January 1, 2017** or the date on which the amendment has been signed by both parties, whichever is later.

All provisions in the contract and any attachments thereto in conflict with this amendment shall be and are hereby changed to conform to this amendment.

All provisions not in conflict with this amendment are still in effect and are to be performed at the level specified in the contract.

This amendment and all its attachments are hereby made a part of the contract.

**IN WITNESS THEREOF**, the parties hereto have caused this **nine (9) page** amendment to be executed by their officials' thereunto duly authorized.

PROVIDER: SOUTHEAST FLORIDA  
BEHAVIORAL HEALTH  
NETWORK, INC.

FLORIDA DEPARTMENT OF  
CHILDREN AND FAMILIES

SIG  
BY: \_\_\_\_\_

SIGNE  
BY: \_\_\_\_\_

NAME: Ann Berner

NAME: Dennis Miles

TITLE: Chief Executive Officer

TITLE: Regional Managing Director

DATE: 1/19/2017

DATE: 1/23/17

FEDERAL ID NUMBER: 271871869

**EXHIBIT F – METHOD OF PAYMENT**

**F-1 Funding**

**F-1.1** This advance fixed price, fixed payment Contract is comprised of federal and state funds, subject to reconciliation. **Exhibit F1** identifies the type and amount of funding provided. At the beginning of each fiscal year, the **Exhibit F1** will be amended into this Contract, and the total Contract amount in **Table 6** will be adjusted accordingly.

**F-1.2** The contract total dollar amount shall not exceed the amount specified in **Section 1.1**, subject to the availability of funds, as specified in **Table 6**.

<b>Table 6 – Contract Funding</b>			
<b>State Fiscal Year</b>	<b>Managing Entity Operational Cost</b>	<b>Direct Services Cost</b>	<b>Total Value of Contract</b>
2012-2013	\$ 9,034,641.00	\$ 29,574,934.00	\$ 38,609,575.00
2013-2014	\$ 9,706,825.00	\$ 41,381,729.00	\$ 51,088,554.00
2014-2015	\$ 7,550,111.00	\$ 43,464,154.00	\$ 51,014,265.00
2015-2016	\$ 2,558,940.00	\$ 52,899,199.00	\$ 55,458,139.00
2016-2017	\$ 2,875,813.00	\$ 53,832,945.00	\$ 56,708,758.00
2017-2018	\$ 2,545,950.00	\$ 52,886,699.00	\$ 55,432,649.00
2018-2019	\$ 2,545,950.00	\$ 52,886,699.00	\$ 55,432,649.00
<b>Total</b>	<b>\$ 36,818,230.00</b>	<b>\$ 326,926,359.00</b>	<b>\$ 363,744,589.00</b>

**F-2 Payment**

**F-2.1** The Department will pay the Managing Entity an operational cost for the management of the Network in accordance with the terms and conditions of this Contract. The direct service cost is defined as the annual value of the Contract less the operational cost of the Managing Entity.

**F-2.2** In accordance with s. 394.9082, F.S., the Department will pay the Managing Entity a two-month advance at the beginning of each fiscal year. Thereafter, the Managing Entity shall request monthly fixed payments equal to the fiscal year contract balance divided by the number of months remaining in the fiscal year. The advance and payment amounts for each fiscal year are specified in **Exhibit F2**. The payment request may be subject to financial consequences, pursuant to **Section E-5.2**.

**F-2.3** The Managing Entity shall temporarily invest surplus advance funds in an insured interest bearing account, in accordance with s. 216.181(16)(b), F.S. The Managing Entity shall remit to the Department, on a quarterly basis, any interest earned on advance funds via check. The Managing Entity must submit documentation from the financial entity where said funds are invested, evidencing the Annual Percentage Rate and actual interest income for each month.

**F-2.4** The Managing Entity shall expend any advance in accordance with the General Appropriations Act.

**F-2.5** The Managing Entity shall request payment in accordance with **Section F-3**.

**F-3 Invoice Requirements**

**F-3.1** In accordance with **Exhibit F2**, the Managing Entity shall:

**F-3.1.1** Request payment monthly through the submission of a properly completed **Template 10 – Managing Entity Monthly Fixed Payment Invoice**;

January 13, 2017

**F-3.1.2** Submit a properly completed **Template 11 – Managing Entity Monthly Progress Report**, for the month that payment is requested;

**F-3.1.3** Submit a properly completed **Template 12 – Managing Entity Monthly Expenditure Report**, detailing actual costs incurred by the Managing Entity for the month that payment is requested. The SAMH Managing Entity Monthly Expenditure Report shall be certified by an authorized representative; and

**F-3.1.4** Submit a properly completed **Template 13 – Managing Entity Monthly Carry Forward Expenditure Report**, detailing the expenditure of approved carry forward funds, until said funds are fully expended.

**F-3.2** Failure to submit the properly completed required documentation shall cause payment to be delayed until such documentation is received. Submission and approval of the elements in **Sections F-3.1.1 and F-3.1.2** for the invoice period and submission and approval of the elements in **Sections F-3.1.3 and F-3.1.4** for the prior invoice period shall be considered the deliverables necessary for payment.

**F-3.3** Within five business days of receipt of a properly completed invoice and **Template 11 – Managing Entity Monthly Progress Report**, the Contract Manager will either approve the invoice for payment or notify the Managing Entity in writing of any deficiencies that must be corrected by the Managing Entity before resubmission of the invoice.

**F-3.4** The Department and the state's Chief Financial Officer reserve the right to request supporting documentation at any time, prior to the authorization of payment.

#### **F-4 Cost Allocation Plan**

**F-4.1** The Managing Entity shall submit an initial **Template 14 – Cost Allocation Plan** within 30 days of execution and a revised Cost Allocation Plan to the Contract Manager annually by August 31, unless otherwise extended in writing by the Department.

**F-4.2** The Department will review the Cost Allocation Plan and provide any comments within 15 days of submission. Revisions required by the Department shall be submitted by the date of the payment request for September. Failure to have an approved Cost Allocation Plan by September 20, unless extended in writing by the Department, will result in no further payment being made to the Managing Entity until the Department approves the Cost Allocation Plan.

**F-4.3** The Managing Entity shall submit a revised Cost Allocation Plan whenever the Managing Entity:

**F-4.3.1** Experiences a change in the type of funding it receives, whether under this Contract or an outside funding source; for example, when a new OCA is added, when a new outside funding source contributes to the Managing Entity's operational revenue or when an existing funding source is discontinued;

**F-4.3.2** Makes internal organizational changes that affect the cost allocation methodology; or

**F-4.3.3** Makes any changes in the allocation of costs relative to funds provided under this Contract and other outside sources.

**F-4.4** The Managing Entity may request to amend or revise their Cost Allocation Plan at any time during the state fiscal year, in writing to the Contract Manager. The Managing Entity shall submit the amended or revised Cost Allocation Plan within 20 days of providing written notification. The Department will review and provide written comments within 15 days of submission. The Managing Entity must submit a revised Cost Allocation Plan addressing any revisions required by the Department, within 15 days of the date of the Department's written response.

#### **F-5 Carry Forward Funding**

January 13, 2017

**F-5.1** In accordance with s. 394.9082, F.S., the Managing Entity may carry forward documented unexpended state funds from one fiscal year to the next fiscal year, unless the following fiscal year falls outside the contract period, subject to the following conditions.

**F-5.1.1** Any funds carried forward shall be expended in accordance with the General Appropriations Act in effect when the funds were allocated to the Managing Entity

**F-5.1.2** The cumulative amount carried forward may not exceed eight percent of the contract total. Any unexpended state funds in excess of eight percent must be returned to the Department.

**F-5.1.3** The funds carried forward may not be used in any way that would create increased recurring future obligations, and such funds may not be used for any type of program or service that is not currently authorized by this contract.

**F-5.1.4** Any unexpended funds that remain at the end of the contract period shall be returned to the Department.

**F-5.2** Within 30 days after receiving confirmation of the approved carried forward amount from the Department, The Managing Entity shall submit a properly completed **Template 15 – Managing Entity Spending Plan for Carry Forward Report**.

**F-6 Allowable Costs**

**F-6.1** All costs associated with performance of the services contemplated by this contract must be both reasonable and necessary and in compliance with the cost principles pursuant to 2 CFR Part 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards - Subpart E, 45 CFR Part 75 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards - Subpart E, The Reference Guide for State Expenditures, and Ch. 65E-14, F.A.C.

**F-6.2** None of the funds provided under the following grants may be used to pay the salary of an individual at a rate in excess of Level II of the Executive Schedule: Block Grants for Community Mental Health Services, Substance Abuse Prevention and Treatment Block Grant, Projects for Assistance in Transition from Homelessness, Project Launch, Florida Youth Transition to Adulthood; and Florida Children's Mental Health System of Care Expansion Implementation Project

**F-6.3** Any compensation paid for an expenditure subsequently disallowed as a result of the Managing Entity's or any Network Service Providers' non-compliance with state or federal funding regulations shall be repaid to the Department upon discovery.

**F-6.4** Invoices must be dated, signed by an authorized representative of the Managing Entity and submitted in accordance with the submission schedule in this contract, with appropriate service utilization and Individuals Served data accepted into the SAMH Data System, in accordance with PAM 155-2.

**F-6.5** The Managing Entity is expressly prohibited from expending funds specified as "Direct Services Costs" in **Table 6**, for anything other than a subcontract with a Network Service Provider.

**F-7 Financial Reconciliation**

**F-7.1** The Managing Entity shall submit reports that reflect the Managing Entity's actual operational cost and the actual service cost of the Network in accordance with **Exhibit F2**. The Managing Entity shall submit a final Managing Entity Monthly Expenditure Report annually no later than August 15. Payment for the final month of the fiscal year and carry forward shall not be approved until final reconciliation has been completed by the Department.

**F-7.2** The Department will reconcile actual expenditures reported to the funds disbursed to the Managing Entity based on the properly completed Managing Entity Monthly Expenditure Reports and the Managing Entity Monthly Carry Forward Expenditure Reports, according to the following schedule:

January 13, 2017

**F-7.3** Quarterly, after September, 30, December 31, March 31, and June 30 each state fiscal year during desk reviews; and

**F-7.4** Annually, after June 30 each state fiscal year during year end reconciliation.

**F-7.5** Any funds disbursed to the Managing Entity that are not expended or were determined to have been expended for unallowable costs shall be considered overpayment to the Managing Entity. The Department shall recoup such overpayments pursuant to **Section 3.5**. In the event an overpayment is identified after the end of a fiscal year and no further invoice is due, the Managing Entity shall remit the overpayment to the Department via check.

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## EXHIBIT F1 – ME SCHEDULE OF FUNDS

Southeast Florida Behavioral Health Network, Inc. - Contract# IH611  
FY 2016-17 Use Designation - As of 01/11/2017

Other Cost Accumulators Title	Other Cost Accumulators	Federal	State	Total
<b>ME Operational Costs</b>				
Managing Entity Administrative Costs	MHS00	159,484	2,220,871	2,380,355
ME Acute Care Utilization Database	MH0CS	-	48,700	48,700
ME Mental Health System of Care	MH0SK	14,348	-	14,348
ME Housing Coordination	MHSHG	-	177,483	177,483
ME Care Coordination	MHSCD	150,491	104,436	254,927
ME-Hurricane Matthew Crisis Counseling Program	MHHM0	-	-	-
<b>Mental Health</b>				
ME Mental Health Services & Support	MH000	2,474,126	21,371,142	23,845,268
Miami-Dade Homeless Trust	MH010	-	-	-
Stewart-Marchman Behavioral Healthcare	MH011	-	-	-
ME Early Intervention Svs - Psychotic Disorders	MH026	750,000	-	750,000
Directions for Living	MH027	-	-	-
David Lawrence Center-Behavioral Health Services	MH031	-	-	-
ME Veterans and Families Pilot Program	MH032	-	-	-
Fort Myers Salvation Army-Behavioral Health Services	MH037	-	-	-
Centerstone Florida	MH046	-	-	-
Lakeview Center	MH047	-	-	-
Specialized Treatment, Education and Prevention Services	MH050	-	-	-
Veterans Alternative Retreat Program	MH060	-	-	-
Northside Mental Health Center	MH061	-	-	-
Purchase of Residential Treatment Services for Emotionally Disturbed Children and Youth	MH071	-	310,617	310,617
Community Forensic Beds	MH072	-	67,441	67,441
Florida Assertive Community Treatment (FACT)	MH073	1,197,195	2,353,302	3,550,497
Indigent Psychiatric Medication Program	MH076	-	259,382	259,382
Clay Behavioral Health Center - Crisis Prevention	MH089	-	-	-
Camillus House Mental Health/Substance Abuse Treatment - Homeless	MH093	-	-	-
Citrus Health Network	MH094	-	-	-
Jerome Golden Center	MH096	-	575,000	575,000
Gracepoint Center	MH819	-	-	-
ME-Orlando Emergency Crisis Counseling Services	MHOER	-	-	-
ME-Provider Hurricane Matthew Crisis Counsel	MHHMP	-	-	-
ME-Disability Rights Florida Mental Health	MHDRF	-	-	-
ME-Transition Vouchers Mental Health	MHTRV	-	148,210	148,210
Lifestream Center	MHS50	-	-	-
ME Centralized Receiving Facilities	MHSCR	-	-	-
Meridian Behavioral Healthcare	MHSMB	-	-	-
ME FL SOC Expansion and Sustainability Project	MHSOC	265,632	-	265,632
ME MH State Funded For Profite Sub-recipients	MHSFP	-	904,574	904,574
Renaissance Center	MHRM5	-	-	-
Circles of Care - Cedar Village	MHS51	-	-	-
Circles of Care - Crisis Stabilization	MHS52	-	-	-
Circles of Care - Geropsychiatric Care Center Services	MHS55	-	-	-
Grants PATH	MH0PG	357,333	-	357,333
Florida Youth Transition of Adulthood	MH0TA	-	-	-
Temporary Assistance for Needy Families (TANF)	MH0TB	767,926	-	767,926
Title XXI Children's Health Insurance Program (Behavioral Health Network)	MH0BN	733,241	108,857	842,098
Grant Miami-Dade County Wraparound FACES	MH0FA	-	-	-
Community Forensic Multidisciplinary Teams for Hospital Diversion	MH0FH	-	-	-
Grants Project Launch	MH0PL	-	-	-
<b>Subtotal Mental Health</b>		<b>6,545,453</b>	<b>26,098,525</b>	<b>32,643,978</b>
<b>Substance Abuse</b>				
ME Substance Abuse Services and Support	MS000	7,931,415	6,495,654	14,427,069
HIV Services	MS023	604,207	-	604,207
Prevention Services	MS025	2,416,828	-	2,416,828
Projects Expansion of Substance Abuse Services for Pregnant Women and their affected families	MS081	-	994,374	994,374
Family Intensive Treatment (FIT)	MS091	-	600,000	600,000
Temporary Assistance for Needy Families (TANF)	MS0TB	559,663	-	559,663
ME Special Services for Jerome Golden Center	MS0JG	-	1,040,584	1,040,584
Drug Abuse Comprehensive Coordinating Treatment (DACCO)	MS095	-	-	-
First Step of Sarasota	MS902	-	-	-
Here's Help	MS903	-	-	-
ME FL Partnerships for Success	MS0FS	-	-	-
Prevention Partnership Grant (PPG)	MS0PP	450,000	-	450,000
ME SA State Funded For Profit Sub-recipients	MSSFP	-	-	-
ME-Transition Vouchers Substance Abuse	MSTRV	-	96,242	96,242
<b>Subtotal Substance Abuse</b>		<b>11,962,113</b>	<b>8,226,854</b>	<b>21,188,967</b>
<b>Total All Fund Sources</b>		<b>18,831,889</b>	<b>37,876,869</b>	<b>56,708,758</b>



**EXHIBIT F2 – SCHEDULE OF PAYMENTS**

**F2-1** Table 7 specifies the schedule of payments for the current fiscal year of this Contract.

<b>Table 7 - Schedule of Payments for Fiscal Year 2016-17</b>						
<b>Month of Services</b>	<b>FY Contract Balance Prior to Payment</b>	<b>Fixed Payment Amount</b>	<b>FY Contract Balance after this Payment</b>	<b>Invoice Packet Due Date</b>	<b>Progress and Expenditure Report Period</b>	<b>Notes</b>
Annual Advance	\$ 55,432,649.00	\$ 9,238,774.83	\$ 46,193,874.17	7/1/2016	N/A	
Jul-16	\$ 46,193,874.17	\$ 3,849,489.51	\$ 42,344,384.66	8/20/2016	July	
Aug-16	\$ 42,344,384.66	\$ 3,849,489.51	\$ 38,494,895.15	9/20/2016	August	\$ 578,067.00
Sep-16	\$ 39,072,962.15	\$ 3,907,296.21	\$ 35,165,665.94	10/20/2016	September	
Oct-16	\$ 35,165,665.94	\$ 3,907,296.21	\$ 31,258,369.73	11/20/2016	October	
Nov-16	\$ 31,258,369.73	\$ 3,907,296.21	\$ 27,351,073.52	12/20/2016	November	\$ 432,410.00
Dec-16	\$ 27,783,483.52	\$ 3,969,069.07	\$ 23,814,414.45	1/20/2017	December	
Jan-17	\$ 23,814,414.45	\$ 3,969,069.07	\$ 19,845,345.38	2/20/2017	January	\$ 265,632.00
Feb-17	\$ 20,110,977.38	\$ 4,022,195.47	\$ 16,088,781.91	3/20/2017	February	
Mar-17	\$ 16,088,781.91	\$ 4,022,195.47	\$ 12,066,586.44	4/20/2017	March	
Apr-17	\$ 12,066,586.44	\$ 4,022,195.48	\$ 8,044,390.96	5/20/2017	April	
May-17	\$ 8,044,390.96	\$ 4,022,195.48	\$ 4,022,195.48	6/20/2017	May	
Jun-17	\$ 4,022,195.48	\$ 4,022,195.48	\$ -	8/15/2017	June	
<b>Total FY Payments</b>		<b>56,708,758.00</b>				